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#13

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## TELEFACSIMILE MESSAGE

JUL 11 2000

TECHNOLOGY CENTER 2800

July 11, 2000

Sender: Anne T. Stewart

8472-018

Pages (including this page): 8

Recipient	Address	Facsimile Number	Your Reference
Ms. La Shaun O'Bryant Refund Section Room 10E09	Office of Finance U.S. Patent and Trademark Office	703-308-7722	09/367,543

Confirmation copy *will not* follow.

## MESSAGE:

Attached is the request for refund which was filed as per our telephone conversation this afternoon. I have also attached a copy of the stamped postcard. Our original request was for \$1,560.00 (half of the filing fee of \$3,120.00). You told me today that \$840 was refunded to our account on November 1. However, when I called on April 17, 2000 to inquire about the refund request, I was told that the paperwork was lost and to resubmit this request via facsimile along with a copy of the postcard. This was done that same day. On April 24, we received correspondence from the PTO acknowledging the request, a copy of which is also attached. Could you please find out if we are due a further refund? If so, could you please let me know the amount to be refunded and when we can expect for the refund to be credited back to our deposit account?

Thank you for your assistance in this regard.

If you have any problems regarding this transmission, please contact Anne T. Stewart at (202) 496-4621

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Express Mail No. HAND CARRY  
 Date Mailed XXXXXX October 6, 1999 XXXXXXXXXXXX  
 Ser. No. 097367,543 First Class Mail ( )  
 Inventor Alexandr Alexandrovich MIROSHIN et al. Filed August 16, 1999  
 For A POLARIZER AND LIQUID CRYSTAL DISPLAY ELEMENT

( ) Affidavit/Declaration  
 ( ) Amendment  
 ( ) Application Pages  
 ( ) Claims Drawings  
 ( ) Appeal, Notice of  
 ( ) Assignment  
 ( ) Brief (in triplicate)  
 (X) Declaration & Power of Attorney (Exec.)  
 ( ) Design Application  
 ( ) Disclaimer  
 ( ) Disclosure Statement  
 ( ) w/refs. ( ) w/o refs.  
 ( ) Drawings Formal  
Sheets Figures

**O I P E J C 6 8**  
**PATENT & TRADEMARK OFFICE**  
**OCT 0 6 1999**

( ) Fee Address Indication Form  
 ( ) Fee Calculation  
 ( ) Issue Fee Transmittal  
 ( ) Letter  
 ( ) Oral Hearing Req./Confirm.  
 ( ) Petition to Extend Time  
 ( ) Pet. under 37 C.F.R.  
 ( ) Power of Attorney  
Associate w/Revocation  
 ( ) Sequence Listing w/Computer  
 Readable and Paper Copies  
 ( ) Small Entity Statement  
 ( ) Status Letter  
 ( ) Transmittal Letter

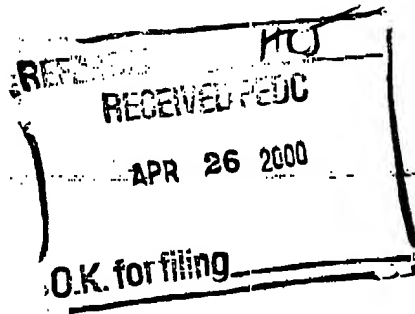
Other: Transmittal of Ver. Statements Claiming Small Entity & Req.  
for Refund w/2 exec. decs.; Submission of Exec. Dec.  
 File No. 8472-018 Sender: HCC/KRM/ats



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

April 24, 2000

PENNIE & EDMONDS  
Harry C Jones III  
1667 K Street NW  
Washington DC 20006



Dear Sir/Madam,

This is to acknowledge receipt of your refund request in the amount of \$ 1560.00

for patent/serial number 09/367543

Your request has been forwarded to La Shaun O'Bryant

for review and processing.

To inquire about the status of your refund request, please call (703) 308-4361

Thank you,

Refund Section, Office of Finance

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Alexandr Alexandrovich MIROSHIN et al.

Application No.: 09/367,543

Group Art Unit: To Be Assigned

Filed: August 16, 1999

Examiner: To Be Assigned

For: A POLARIZER AND LIQUID  
CRYSTAL DISPLAY ELEMENT

Attorney Docket No.: 8472-018

FAX COPY RECEIVED  
JUL 11 2000  
TECHNOLOGY CENTER 2800**TRANSMITTAL OF VERIFIED STATEMENTS CLAIMING  
SMALL ENTITY STATUS UNDER 37 C.F.R. §§1.9(f), 1.27(b) AND 1.27(f)  
AND REQUEST FOR REFUND**Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Sir:

Enclosed herewith are two Verified Statement Claiming Small Entity Status in the above-identified application. It is respectfully requested that the applicants be accorded Small Entity Status in accordance with 37. C.F.R. §§1.9(f), 1.27(b) and 1.27(d).

A patent application was filed on August 16, 1999, without a claim to Small Entity Status and a fee of \$3,120.00 was paid. In accordance with 37 C.F.R. § 1.28(a), applicants request a refund of the excess amount paid: \$1,560.00. Please credit the refund to Pennie & Edmonds LLP Deposit Account No. 16-1150. This form is being submitted in duplicate.

Date October 6, 1999

Respectfully submitted,



Harry C. Jones, III

(#3086)

20,280  
(Reg. No.)PENNIE & EDMONDS LLP  
1667 K Street, N.W.  
Washington, DC 20006  
(202) 496-4400

Enclosures